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PATENT  
Docket No.: CREQ-502 10-18-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2185

Examiner:

**RECEIVED**

Serial No.: 09/882,688

Filed: June 13, 2001

OCT 15 2002

In re Application of: Stuart Creque

Technology Center 2100

For: METHOD OF KNOWLEDGE MANAGEMENT AND INFORMATION  
RETRIEVAL UTILIZING NATURAL CHARACTERISTICS OF PUBLISHED  
DOCUMENTS AS AN INDEX METHOD TO A DIGITAL CONTENT STORE

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Director of Patents, Washington, D.C. 20231 on 9-30-02, Signed Gerry Ubau

Gerry Ubau

**TRANSMITTAL LETTER**

Director of Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed please find the following:

1. Information Disclosure Statement;
2. Form PTO-1449;
3. Fifty-eight (58) references

In the event any fee is required for filing the above-noted document, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing attached document timely, the Assistant Commissioner is hereby authorized to charge the fee to our Deposit Account No. 50-0612. A duplicate of this page is enclosed.

Respectfully submitted,  
SIERRA PATENT GROUP, LTD.

Dated: 9-30-02

Andrew V. Smith  
Reg. No. 43,132

Sierra Patent Group, Ltd.  
P.O. Box 6149  
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(775) 586-9550 Fax



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**INFORMATION DISCLOSURE STATEMENT**

Director of Patents  
Washington, D.C. 20231

Dear Sir:

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

**I**

This statement is filed pursuant to:

(X) 37 C.F.R. §1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

( ) 37 C.F.R. §1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. §1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. §1.113 or (2) a notice of allowance under 37 C.F.R. §1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. §1.17 (p) or a certification according to 37 C.F.R. §1.97(e).

( ) 37 C.F.R. §1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. §1.97(c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. §1.17(p), \$180.00, for submission of an information disclosure statement under 37 C.F.R. §1.97(d), and a statement according to 37 C.F.R. §1.97(e).

37 C.F.R. §1.97(e).

( ) (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of the statement.

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. §1.17(p), \$180.00, for submission of an information disclosure statement under 37 C.F.R. §1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. §1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- (X) No fee is due.
- ( ) The fee specified in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c), 37 C.F.R. § 1.97(d), or 37 C.F.R. §1.97(e) is enclosed, \$180.00.

In the event any fee is required for filing the above-noted document, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing attached document timely, the Assistant Commissioner is hereby authorized to charge the fee to our Deposit Account No. 50-0612. A duplicate of this page is enclosed.

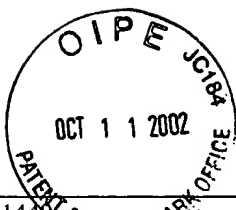
Respectfully submitted,  
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Dated: 9-30-02



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Form PTO 1449 (Rev. 2-32)				U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. CREQ-502		Serial No. 09/812,468	
Information Disclosure Statement by Applicant						Applicant: Stuart Creque			
(Use several sheets if necessary)						Filed: June 13, 2001 Group: 2185			
U.S. Patent Documents									
Init.		Document No.	Date	Name	Class	Subclass	Filing Date		
		4,090,223	05/16/78	Holt	360	35	11/16/76		
		4,741,047	04/26/88	Sharpe, II	382	56	03/20/86		
		4,941,125	07/10/90	Boyne	364	900	08/01/84		
		5,063,600	11/05/91	Norwood	382	13	05/14/90		
		5,109,439	04/28/92	Froessl	382	61	06/12/90		
		5,251,294	10/05/93	Abelow	395	155	02/07/90		
		Re. 34,476	12/14/93	Norwood	382	13	05/14/90		
		5,278,673	01/11/94	Scapa, et al.	358	473	09/09/92		
		5,280,609	01/18/94	MacPhail	395	600	12/22/89		
		5,299,026	03/29/94	Vincett, et al.	358	401	11/12/91		
		5,341,498	08/23/94	Connor, et al.	395	600	04/12/91		
		5,444,840	08/22/95	Froessl	395	145	12/21/94		
		5,448,375	09/05/95	Cooper, et al.	358	403	03/20/92		
		5,465,353	11/07/95	Hull, et al.	395	600	04/01/94		
		5,524,202	06/04/96	Yokohama	395	161	06/06/95		
		5,553,284	09/03/96	Barbara, et al.	395	600	06/06/95		
		5,557,722	09/17/96	DeRose, et al.	395	148	04/07/95		
		5,623,681	04/22/97	Rivette, et al.	395	788	11/19/93		
		5,625,833	04/29/97	Levine, et al.	395	800	11/20/95		
		5,628,003	05/06/97	Fujisawa, et al.	395	615	08/24/93		
		5,649,185	07/15/97	Antognini, et al.	395	609	04/05/95		
		5,649,218	07/15/97	Saito	395	774	07/18/95		
		5,680,636	10/21/97	Levine, et al.	395	800	06/07/95		
		5,748,805	05/05/98	Withgott, et al.	382	306	07/11/94		
		5,752,020	05/12/98	Ando	395	604	01/23/97		
		5,754,308	05/19/98	Lopresti, et al.	358	403	06/27/95		
		5,761,682	06/02/98	Huffman, et al.	707	500	12/14/95		
		5,761,686	06/02/98	Bloomberg	707	529	06/27/96		
		5,765,152	06/09/98	Erickson	707	9	10/13/95		
		5,778,378	07/07/98	Rubin	707	103	04/30/96		
		5,805,914	09/08/98	Wise, et al.	395	800	06/07/95		
		5,809,160	09/15/98	Powell, et al.	382	100	11/12/97		
Examiner					Date Considered				
Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.									

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Form PTO 1449 (Rev. 2-32)				U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. CREQ-502		Serial No. <b>RECEIVED</b>	
Information Disclosure Statement by Applicant						Applicant: Stuart Creque			
(Use several sheets if necessary)						Filed: June 13, 2001			
U.S. Patent Documents									
Init.		Document No.	Date	Name	Class	Subclass	Filing Date		
		5,809,318	09/15/98	Rivette, et al.	395	773	04/04/97		
		5,832,474	11/03/98	Lopresti, et al.	707	2	02/26/96		
		5,838,819	11/17/98	Ruedisueli, et al.	382	187	11/14/95		
		5,841,978	11/24/98	Rhoads	395	200.47	07/27/95		
		5,845,301	12/01/98	Rivette, et al.	707	512	05/09/96		
		5,873,077	02/16/99	Kanoh, et al.	707	3	04/16/96		
		5,930,377	07/27/99	Powell, et al.	382	100	05/07/98		
		5,950,214	09/07/99	Rivette, et al.	707	512	04/10/98		
		5,991,756	11/23/99	Wu	707	3	11/03/97		
		5,991,780	11/23/99	Rivette, et al.	707	512	04/03/98		
		5,933,829	08/03/99	Durst, et al.	707	10	11/08/97		
		6,008,727	12/28/99	Want, et al.	340	572.1	09/10/98		
		6,011,905	01/04/00	Huttenlocher, et al.	395	102	11/08/96		
		6,018,749	01/25/00	Rivette, et al.	707	525	04/09/98		
		6,029,167	02/22/00	Evans	707	4	07/25/97		
		6,038,561	03/14/00	Snyder, et al.	707	6	09/15/97		
		6,040,920	03/21/00	Ichiriki	358	403	02/19/97		
		6,072,888	06/06/00	Powell, et al.	382	100	05/24/99		
		6,078,915	06/20/00	Okawa, et al.	707	5	11/21/96		
		6,078,934	06/20/00	Lahey, et al.	707	511	07/09/97		
		6,092,081	07/18/00	Alpert, et al.	707	104	03/05/97		
		6,105,044	08/15/00	DeRose, et al.	707	514	07/13/99		
		6,111,954	08/29/00	Rhoads	380	54	10/08/98		
		6,122,392	09/19/00	Rhoads	382	100	11/12/97		
		6,138,129	10/24/00	Combs	707	501	12/16/97		
		6,108,656	08/22/00	Durst, et al.	707	10	05/11/99		
Examiner					Date Considered				
Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.									